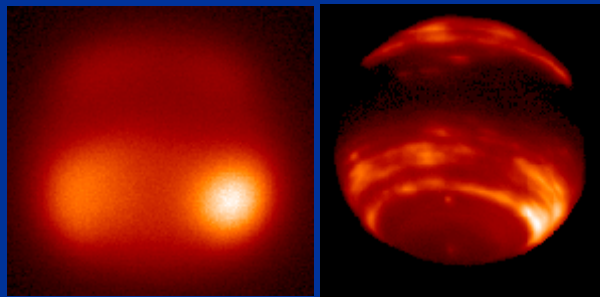


# *Adaptive Optics and its Applications*

## *Lecture 1*



*Neptune with and without AO*

Claire Max  
UC Santa Cruz  
January 9, 2020



# *Outline of lecture*

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- Introductions, goals of this course
- How the course will work
- Overview of adaptive optics and its applications

**Please remind me to stop for a break at 10:35 am !**

# Zoom techniques

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- Please identify yourself when you speak
  - “This is Mary Smith from Santa Cruz”
- Report technical problems to Graseilah Coolidge at 831-459-2991. If that doesn't work, please text me at 510-717-1930 (my cell)
- Microphones are quite sensitive, even on laptops
  - Do not to rustle papers in front of them
  - Mute your microphone if you are making side-comments, sneezes, eating lunch, whatever
  - In fact, it's probably best if you keep microphone muted until you want to ask a question or make a comment

# Who are we? continued



- In the CfAO conference room at UCSC:

|              |         |      |
|--------------|---------|------|
| Bowens-Rubin | Rachel  | UCSC |
| Demartino    | Matthew | UCSC |
| Luo          | Yifei   | UCSC |
| Morris       | Evan    | UCSC |
| Sanchez      | Dominic | UCSC |
| Simha        | Sunil   | UCSC |
| Roy          | Namrata | UCSC |



# Introductions: who are we?

- Via Zoom:

| Last Name   | First Name | From:            |
|-------------|------------|------------------|
| McEwen      | Eden       | berkeley         |
| Lam         | Casey      | berkeley         |
| Casey       | Kelleen    | keck             |
| Gomez       | Percy      | keck             |
| Dankel      | Nick       | keck             |
| Yeh         | Sherry     | keck             |
| Gautam      | Abhimat    | ucla             |
| Lam         | Rex        | ucsd             |
| Wilcomb     | Kielan     | ucsd             |
| Theissen    | Chris      | ucsd             |
| Rundquist   | Nils       | ucsd             |
| Michelsen   | Eric       | ucsd             |
| Steiger     | Sarah      | ucsb             |
| Swimmer     | Noah       | ucsb             |
| Bradshaw    | Andrew     | Stanford         |
| Madurowicz  | Alex       | Stanford         |
| Radzaminski | Rochelle   | Stanford         |
| Bault       | Abby       | uci              |
| Abolfothi   | Bela       | uci              |
| Lubin       | Jack       | uci              |
| Hedglen     | Alex       | Univ. of Arizona |

- If I haven't listed you on this slide or the previous one, please say who you are (and send me an email)

# Goals of this course

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- To understand the main concepts and components behind adaptive optics systems
- To understand how to do astronomical observations with AO (what is AO good for, what is it not good for?)
- To get acquainted with AO components in the Lab
- Brief introduction to non-astronomical applications
- I hope to interest a few of you in learning more AO, and doing research in the field

# Course websites

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- **Main:** <http://www.ucolick.org/~max/289>
  - Lectures will be on web before each class
  - Homework assignments (and, later, solutions)
  - Reading assignments
- **Auxiliary: Canvas at UCSC**
  - <https://canvas.ucsc.edu/courses/29723>
  - Will be used for some of the reading material
    - UCSC students: use your Gold login
    - Others: I'll email readings to you; they will be password protected



## *Required Textbook*

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- Field Guide to Astronomical Instrumentation by Keller, Navarro, and Brandl
  - Available from [SPIE](#)
  - I found this small spiral book very useful for lots of things
- Readings from the academic literature available from Canvas or via email from me, password protected





# *Outline of lecture*

---

- Introductions, goals of this course
- How the course will work
- Overview of adaptive optics

# Course components

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- Lectures
- Reading assignments
- Homework problems
- Project
- Laboratory exercises
- Final exam (take-home)

# How People Learn

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- Research shows that the traditional passive lecture is far from the most effective teaching tool.
- It is not possible for an instructor to pour knowledge into the minds of students.
- It is the *students* who must actively *engage* in the subject matter in a manner that is meaningful to *them*.
- Hence this course will use several departures from the traditional lecture format, to encourage *active learning* and understanding of *concepts*.

*I will post lectures prior to each class; you can download them*

---



- <http://www.ucolick.org/~max/289/>
- I strongly suggest that those of you who are attending via video download the lectures prior to class, and project them locally
- I'll also project them via Zoom

# Concept Questions

---



- Lectures will discuss the *underlying concepts and key points*, elaborate on reading, and address difficulties.
  - I will assume you have already done a first pass through the reading
- As feedback to me, lectures will include Concept Questions
- You will be asked to first formulate your own answer, then to discuss your answer with each other, and finally to report each group's answers to the class as a whole.

# Reading Assignments

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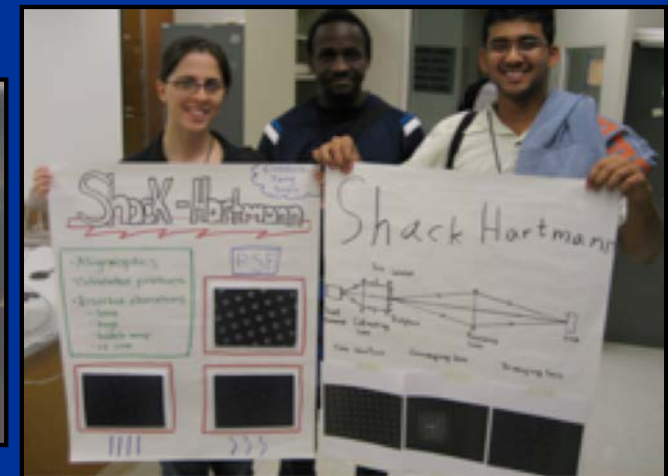
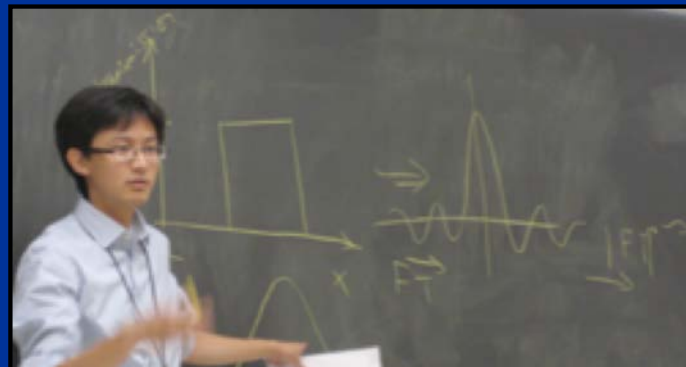
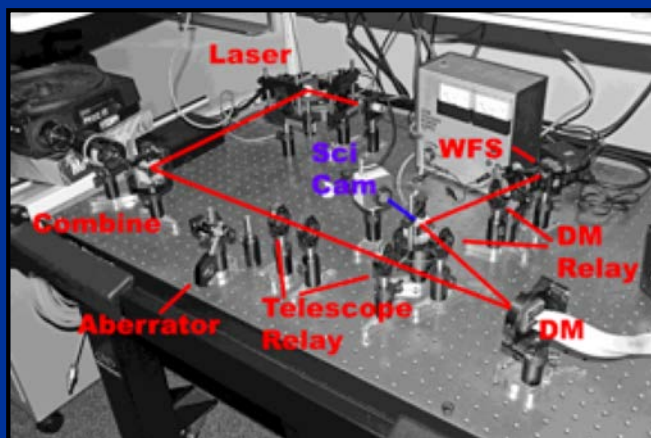
- I will expect you to do the reading BEFORE class
- Then if you want, go back and read more deeply after the lecture, to resolve areas which seem confusing
- From time to time I will give quick “Reading Quizzes” at the start of a class, where I ask few questions that you’ll be able to answer easily if you’ve spent even 20 minutes looking at the reading assignment

# Inquiry Labs: Designed by grad students in the ISEE Professional Development Program



- **AO Demonstrator**
- **Learning goals:**
  - 3 main components of AO system
  - Ray-trace diagram
  - Optical conjugation
  - Focus and magnification
  - Alignment techniques
  - Performance of AO system
- **Fourier Optics**
- **Learning goals:**
  - Pupil plane and focal plane
  - Relationship between aperture and PSF
  - Phase errors and effects, including speckles
  - Wavefront error and Shack-Hartmann spots

Would be great if out-of-town students could travel to UCSC for these, but not required unless you are enrolled



# *Class Project: Design an AO system to meet your chosen scientific goals*

---



- **Group activity**
- **Learning goals:**
  - Systems thinking
  - Requirements-driven design
  - Optimization and tradeoffs
  - Wavefront error terms and error budget
- **Activity outline:**
  - Choose a science goal
  - Sketch out the design of an AO system that best meets your science goal
  - Justify design decisions with an error budget
  - Present your design to the class



# A “textbook in the process of being written”

---



- I’ve been asked to write an AO textbook by Princeton University Press
- I’ll be asking for your help with homework problems
  - For problems that I assign to you, tell me what works, what doesn’t
  - From time to time, I’ll ask **YOU** to develop a homework problem, and then answer it
  - Sometimes **I’ll ask you to trade problems**, so each person does a problem that someone else came up with

# Homework for Tuesday Jan 14th (see website for details)

---



- Read Syllabus carefully (download from class website)
- Do Homework # 1: “Tell me about yourself”
  - Specific questions on web, won't take long
  - Email your responses to me from your favorite email address, so I'll know how to reach you
  - Always make the subject line “289” so I won't lose your email
- Reading assignment: Imaging through turbulence
  - Intro to imaging through turbulence by myself
  - More rigorous derivation by Quirrenbach
  - See class website for details and to download (public domain)

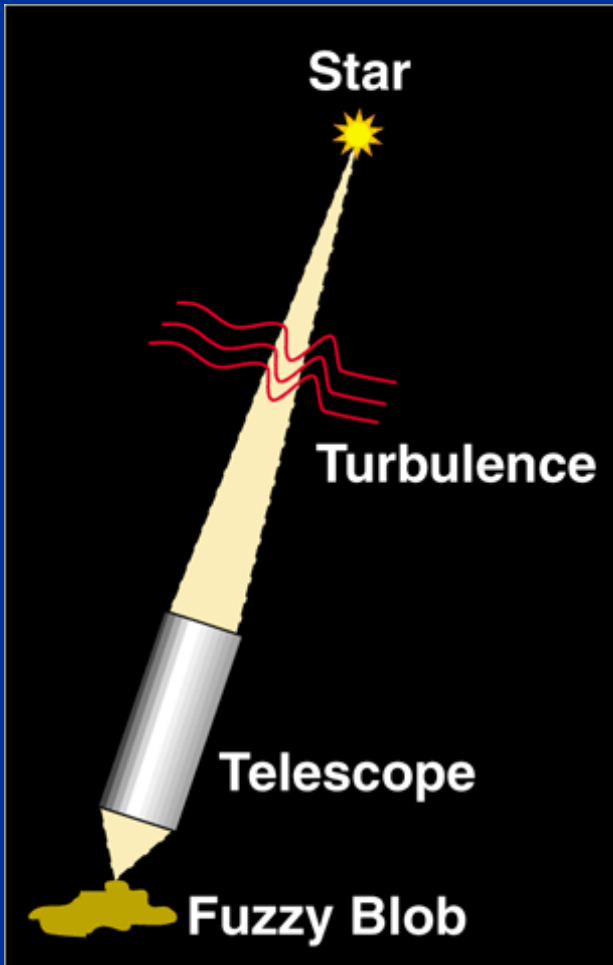
# *Outline of lecture*

---



- Introductions, goals of this course
- How the course will work
- Overview of adaptive optics

# Why is adaptive optics needed?



Turbulence in earth's atmosphere makes stars twinkle

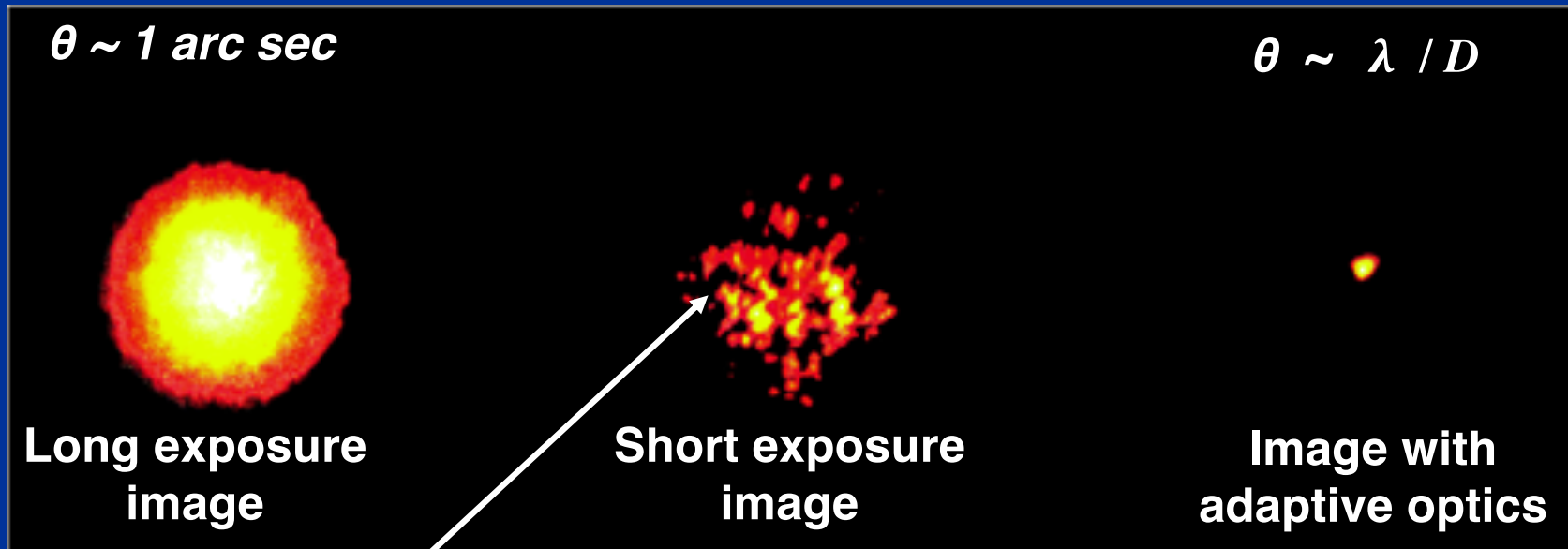
More importantly, turbulence spreads out light; makes it a blob rather than a point

Even the largest ground-based astronomical telescopes have no better resolution than an 8" telescope!

# Images of a bright star, Arcturus



Lick Observatory, 1 m telescope

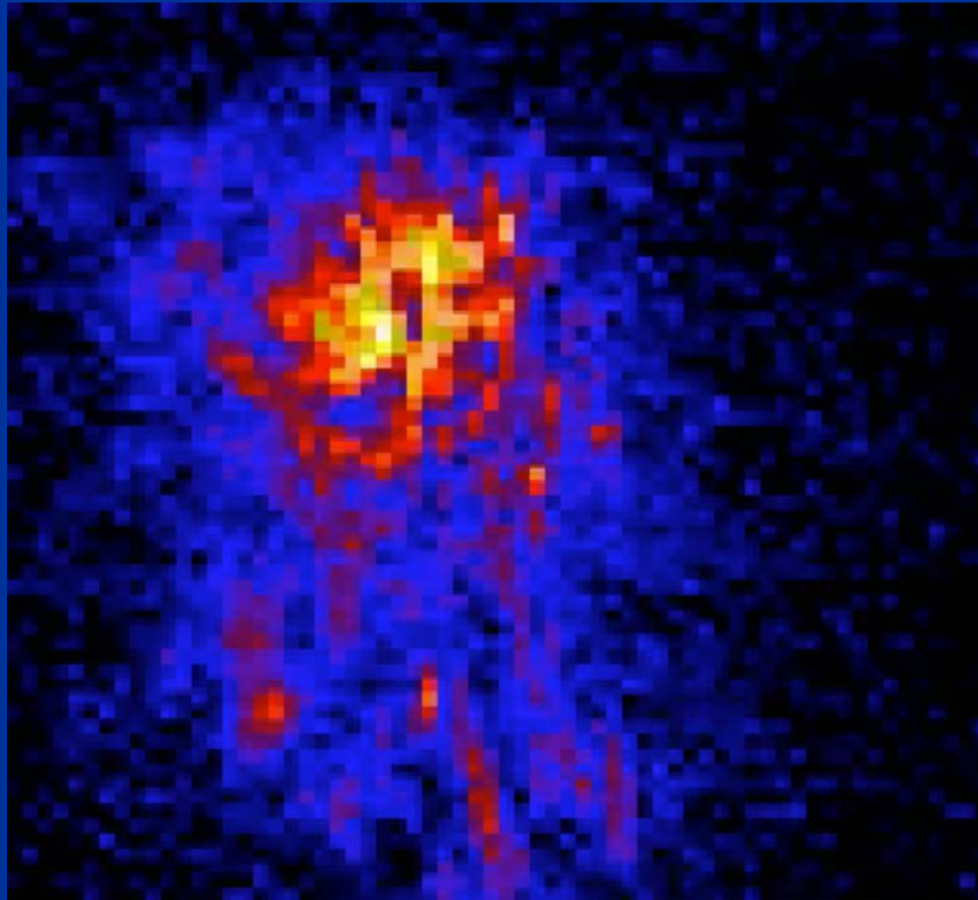


Speckles (each is at diffraction limit of telescope)



# *Turbulence changes rapidly with time*

Image is  
spread out  
into speckles

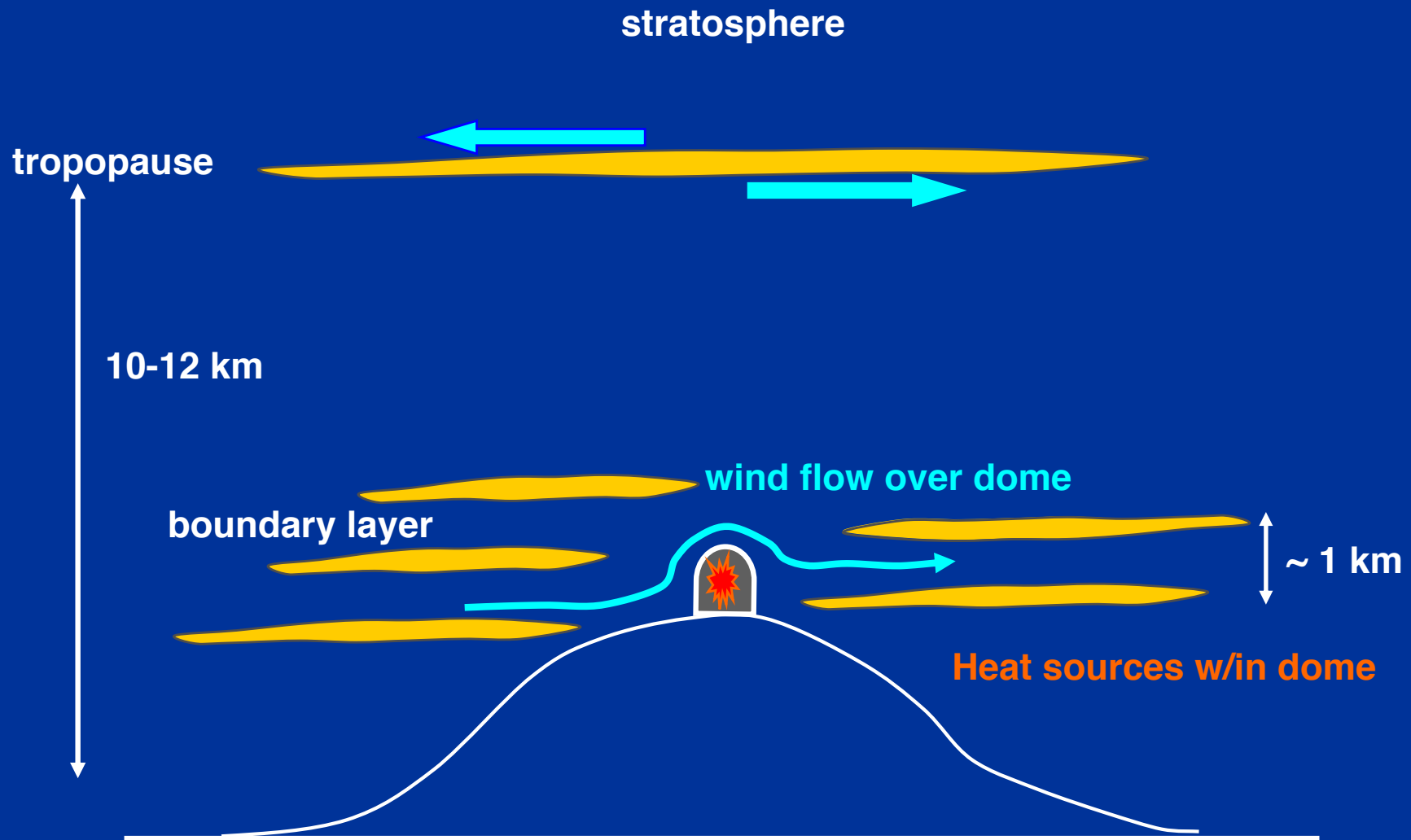


Centroid jumps  
around  
(image motion)

“Speckle images”: sequence of short snapshots of a star, taken at Lick Observatory using the IRCAL infra-red camera



# *Turbulence arises in many places*



# Atmospheric perturbations cause distorted wavefronts



Index of refraction variations



Rays not parallel



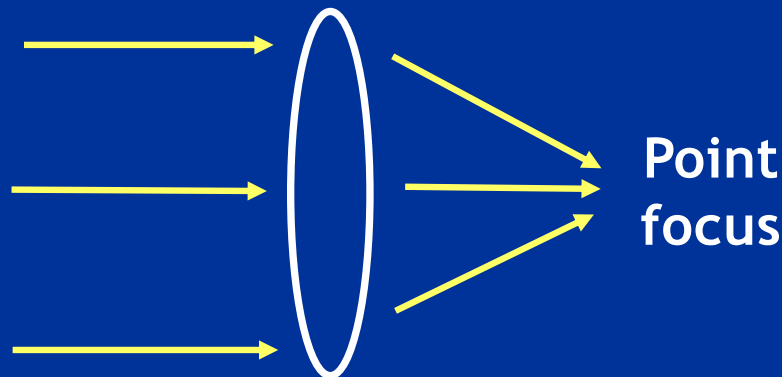
Distorted Wavefront



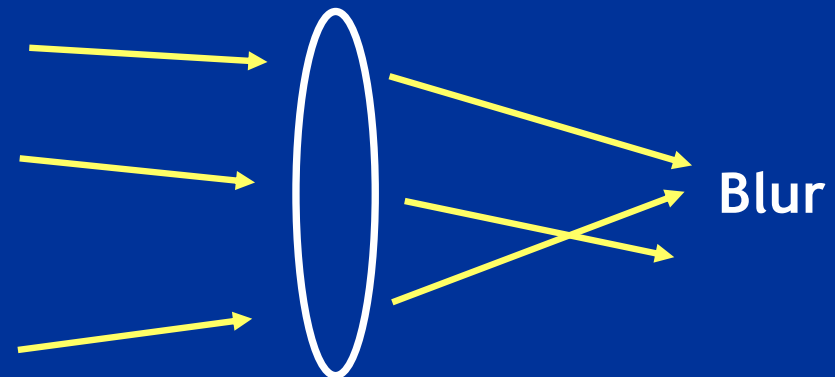


## *Optical consequences of turbulence*

- Temperature fluctuations in small patches of air cause changes in index of refraction (like many little lenses)
- Light rays are refracted many times (by small amounts)
- When they reach telescope they are no longer parallel
- Hence rays can't be focused to a point:

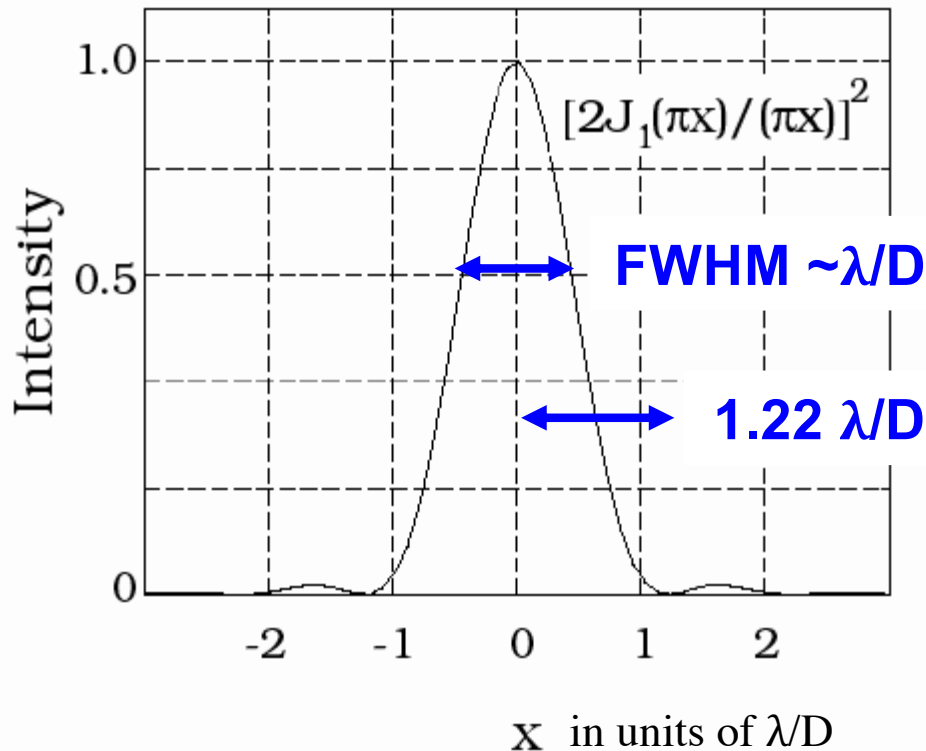


Parallel light rays



Light rays affected by turbulence

# Imaging through a perfect telescope



Point Spread Function (PSF):  
intensity profile from point source

With no turbulence,  
FWHM is diffraction limit  
of telescope,  $\theta \sim \lambda / D$

Example:

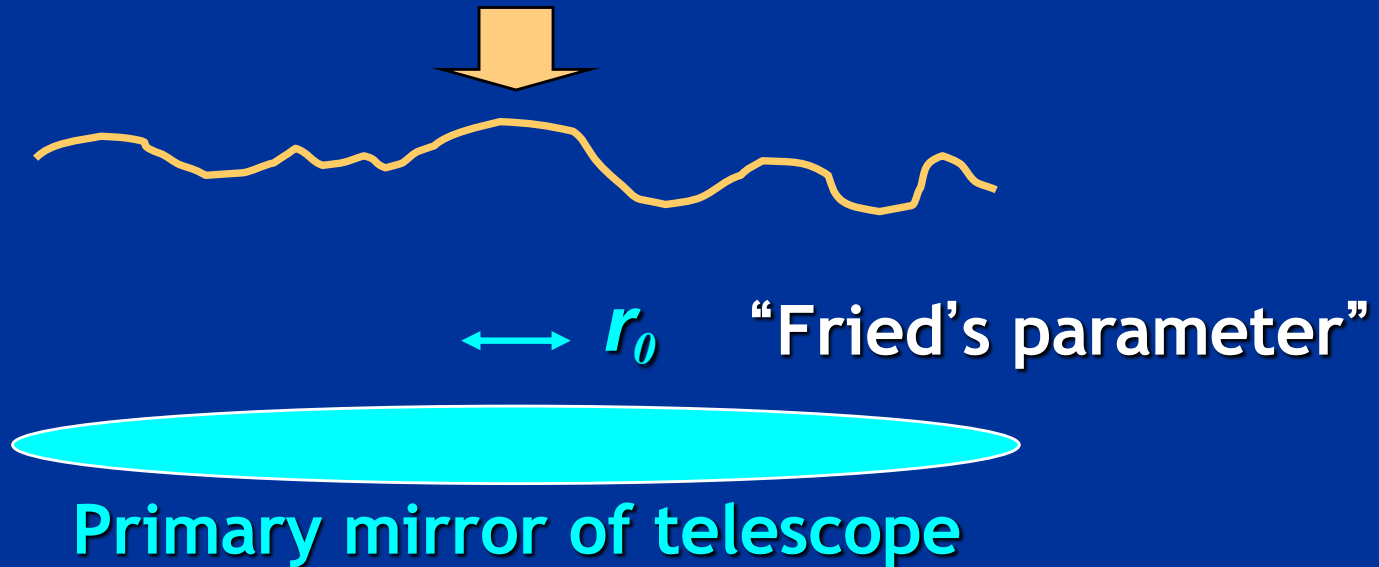
$$\lambda / D = 0.02 \text{ arc sec for } \lambda = 1 \mu\text{m}, D = 10 \text{ m}$$

With turbulence, image  
size gets much larger  
(typically 0.5 - 2 arc sec)

# Characterize turbulence strength by quantity $r_0$



Wavefront  
of light

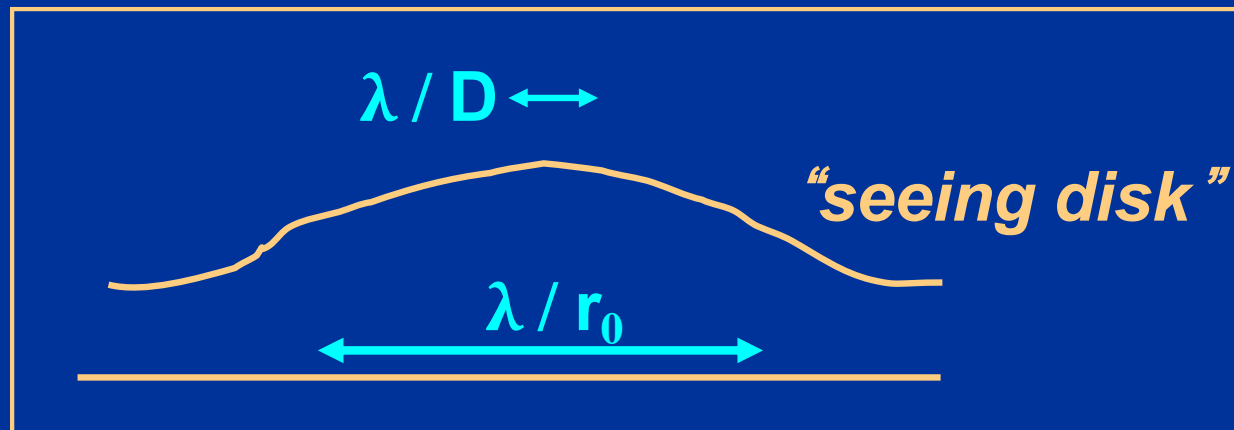


- “Coherence Length”  $r_0$ : distance over which optical phase distortion has mean square value of  $1 \text{ rad}^2$   
( $r_0 \sim 15 - 30 \text{ cm}$  at good observing sites)
- $r_0 = 10 \text{ cm}$  for seeing of 1 arc sec at  $\lambda = 0.5 \text{ }\mu\text{m}$

# Effect of turbulence on image size



- If telescope diameter  $D \gg r_0$ , image size of a point source is  $\lambda / r_0 \gg \lambda / D$



- $r_0$  is diameter of the circular pupil for which the diffraction limited image and the seeing limited image have the same angular resolution.
- Any telescope with diameter  $D > r_0$  has no better spatial resolution than a telescope for which  $D = r_0$  (!)

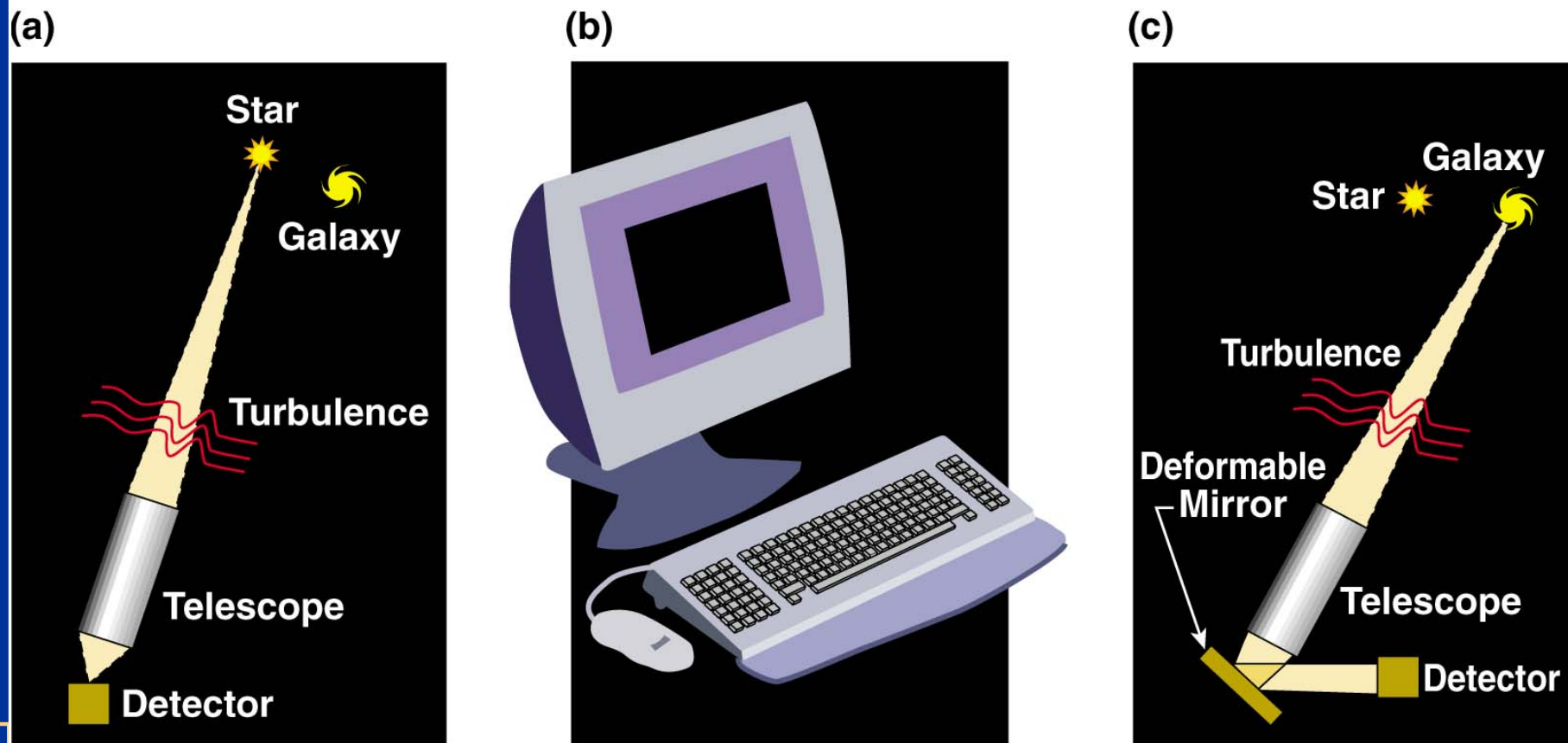
# How does adaptive optics help? (cartoon approximation)



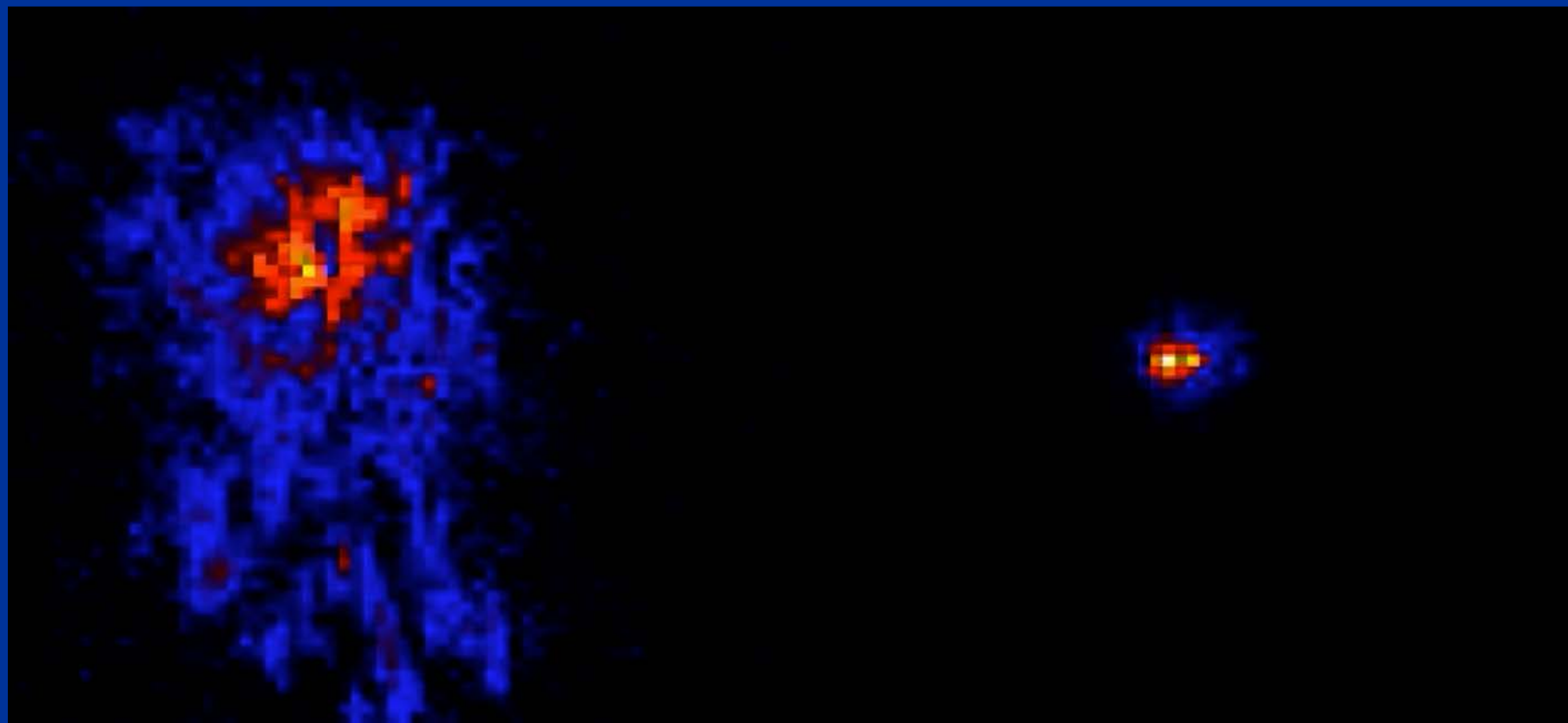
Measure details of blurring from "guide star" near the object you want to observe

Calculate (on a computer) the shape to apply to deformable mirror to correct blurring

Light from both guide star and astronomical object is reflected from deformable mirror; distortions are removed



# *Infra-red images of a star, from Lick Observatory adaptive optics system*

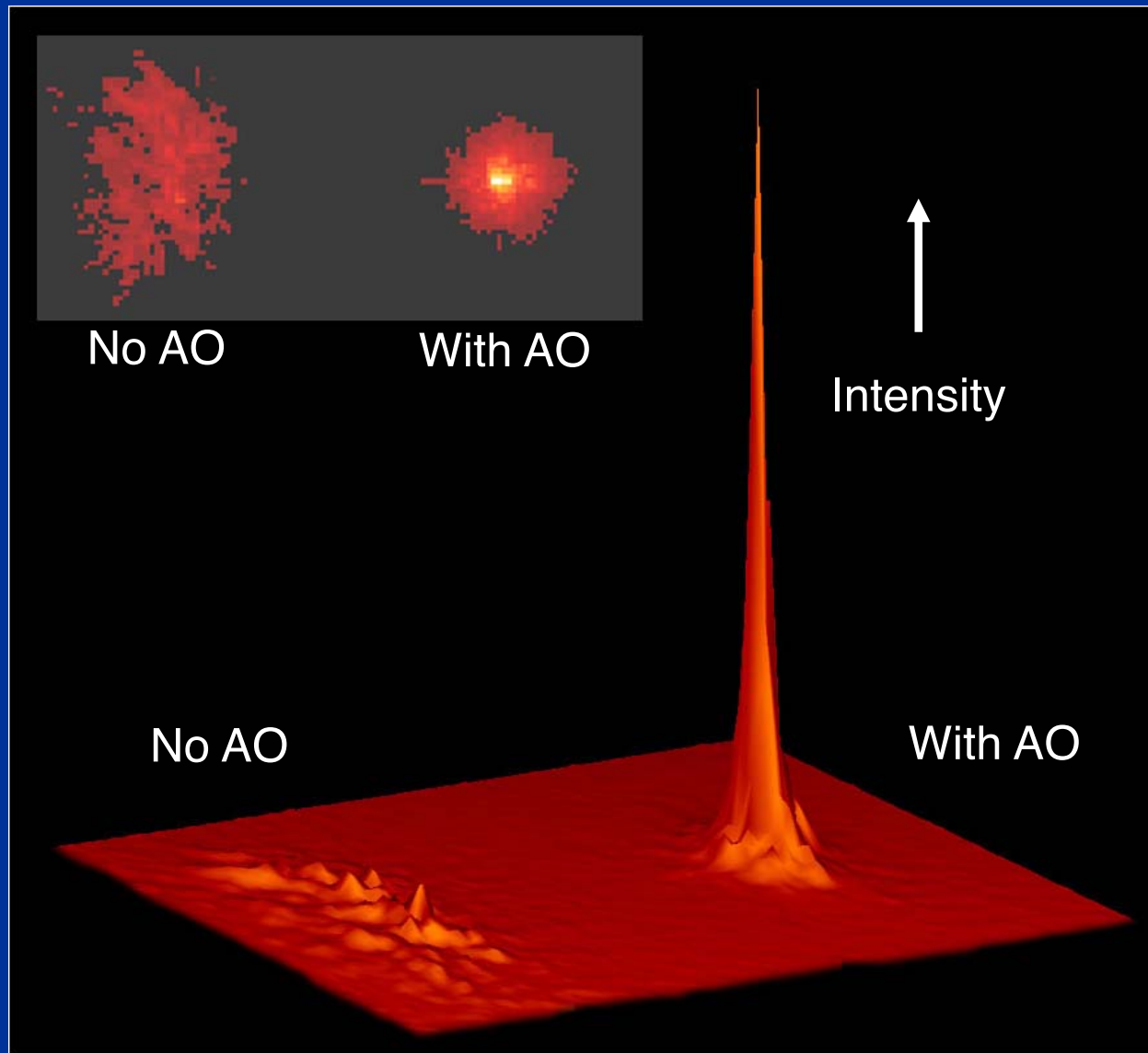


No adaptive optics

With adaptive optics

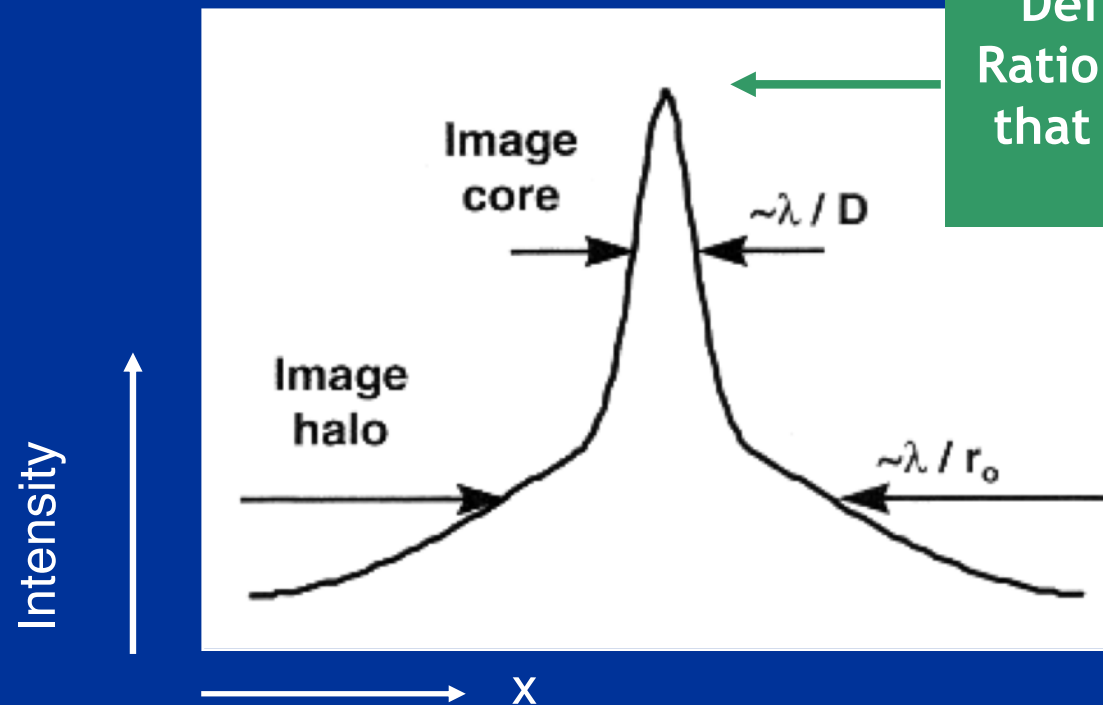
Note: “colors” (blue, red, yellow, white) indicate increasing intensity

# Adaptive optics increases peak intensity of a point source



Lick  
Observatory

# AO produces point spread functions with a “core” and “halo”



Definition of “Strehl”:  
Ratio of peak intensity to  
that of “perfect” optical  
system

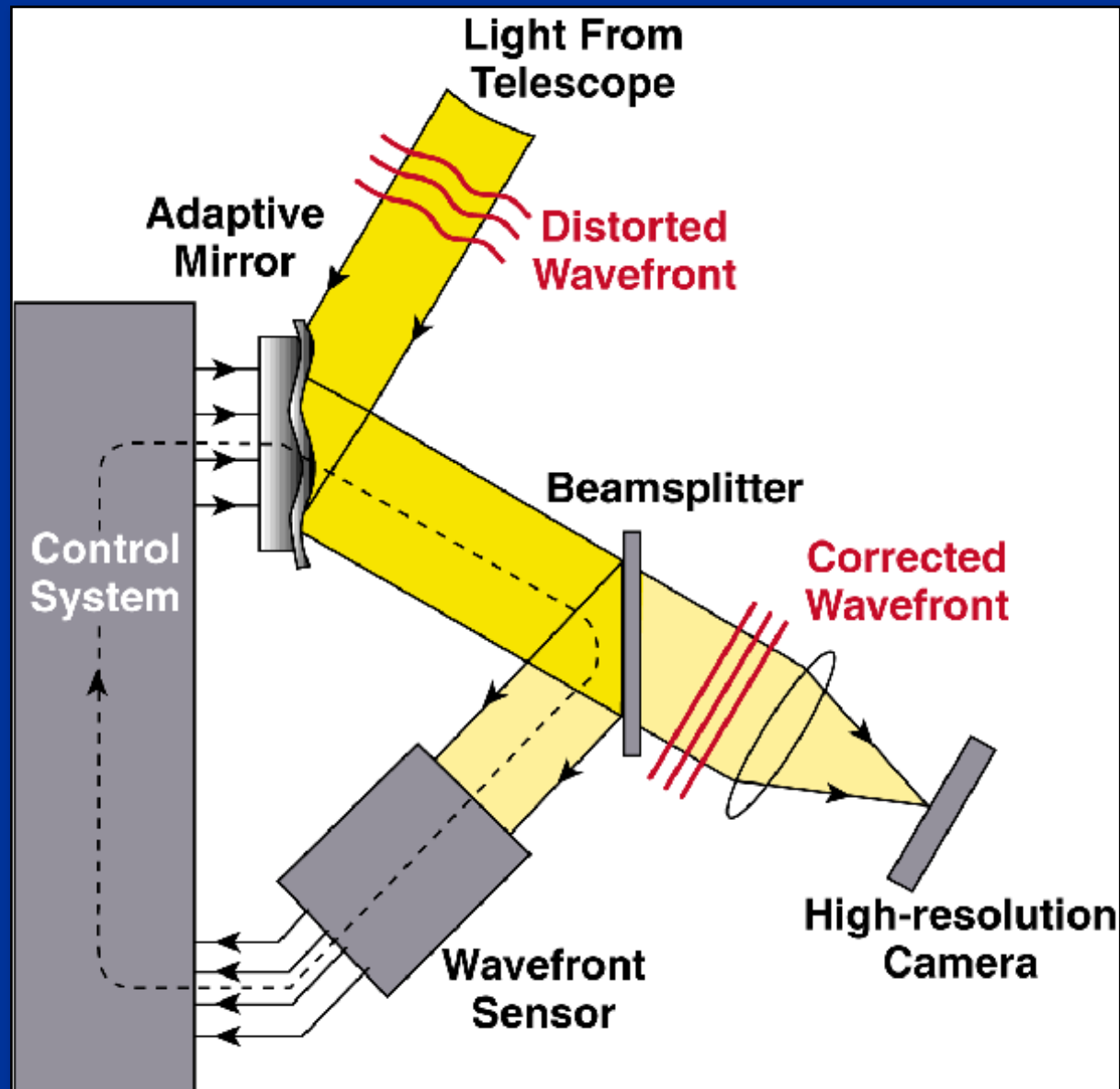
- When AO system performs well, more energy in core
- When AO system is stressed (poor seeing), halo contains larger fraction of energy (diameter  $\sim r_0$ )
- Ratio between core and halo varies during night



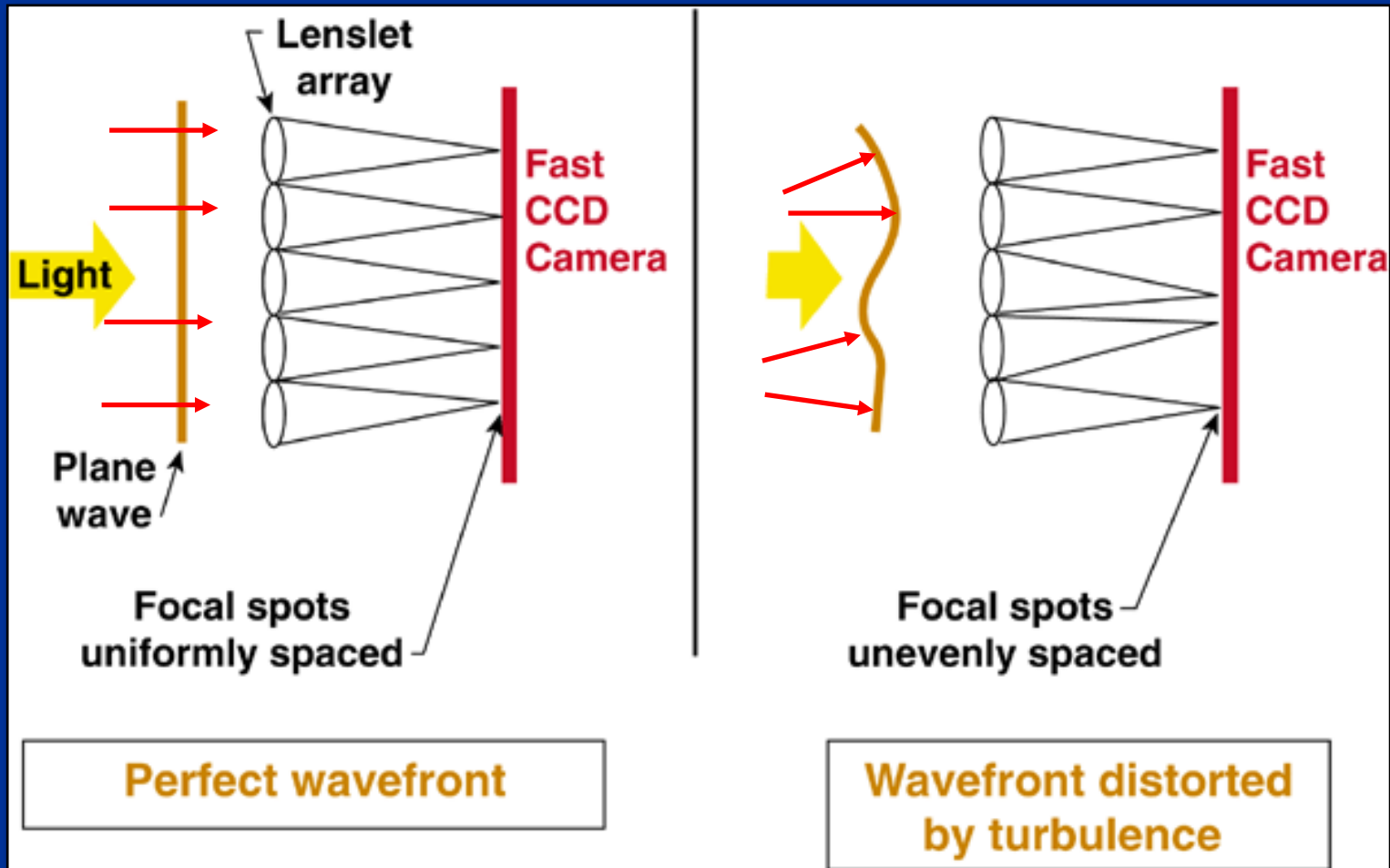


# *Schematic of adaptive optics system*

Feedback loop:  
next cycle  
corrects the  
(small) errors of  
the last cycle



# How to measure turbulent distortions (one method among many)



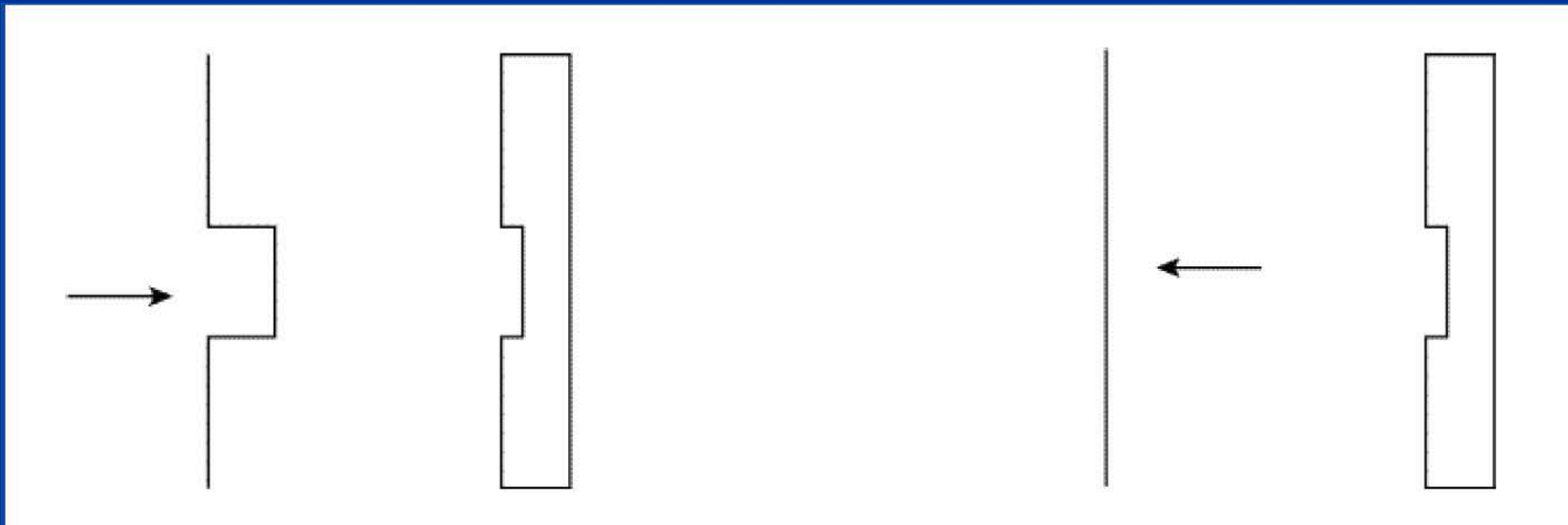
## Shack-Hartmann wavefront sensor

# How a deformable mirror works (idealization)



**BEFORE**

**AFTER**

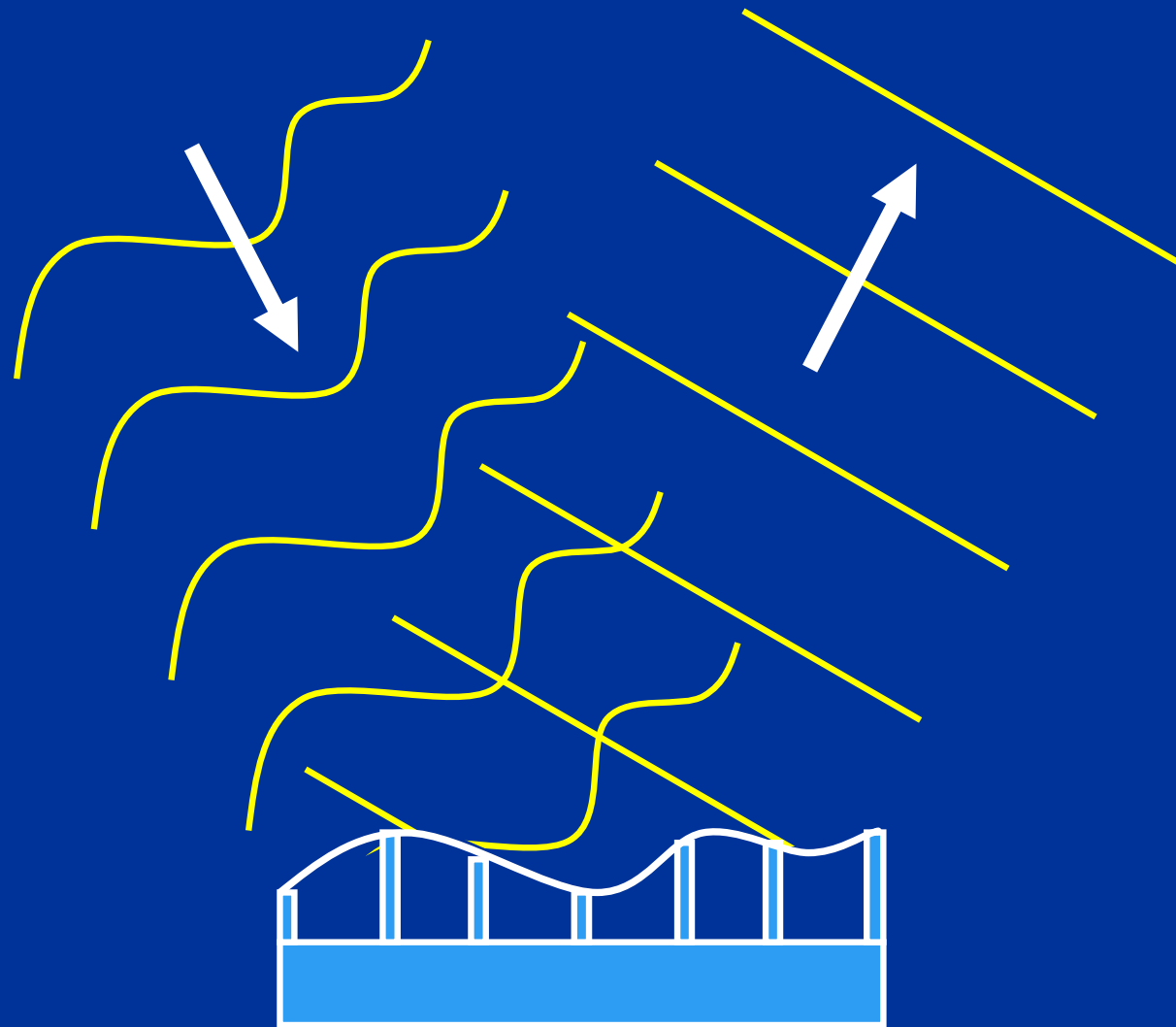


**Incoming  
Wave with  
Aberration**

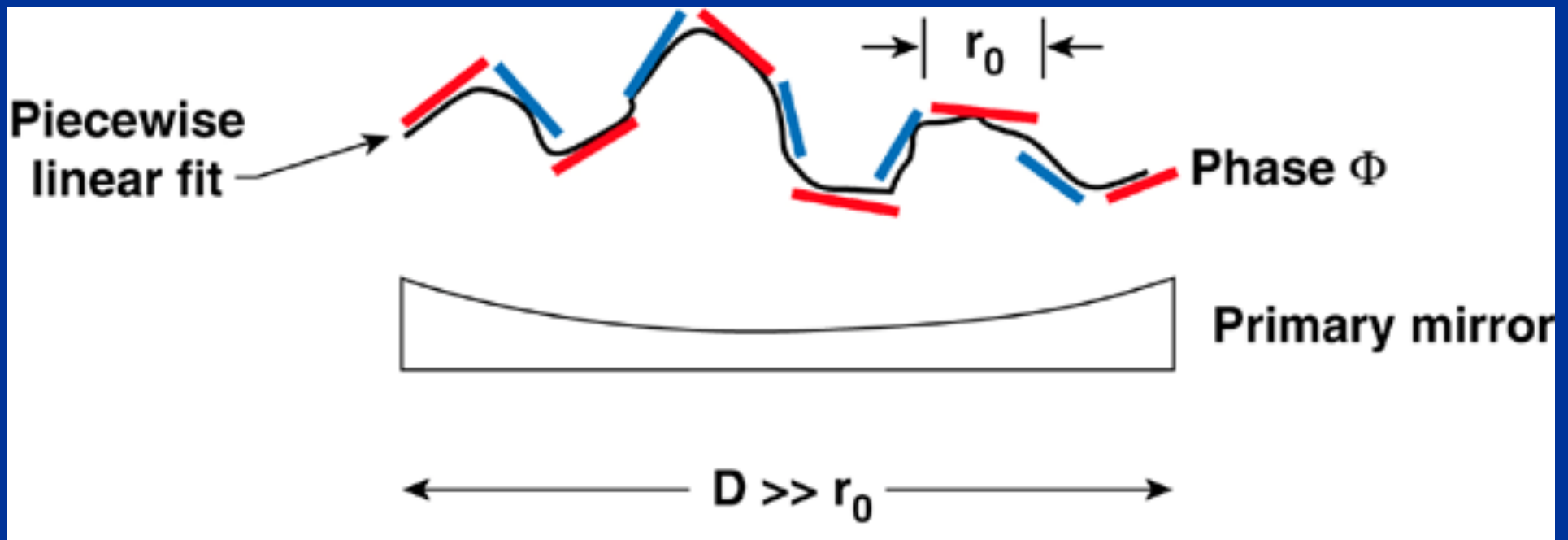
**Deformable  
Mirror**

**Corrected  
Wavefront**

# Deformable Mirror for Real Wavefronts



# Real deformable mirrors have smooth surfaces

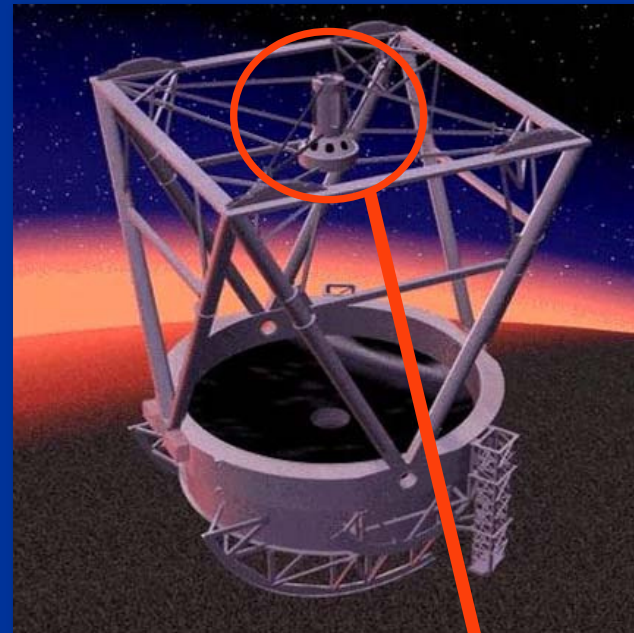
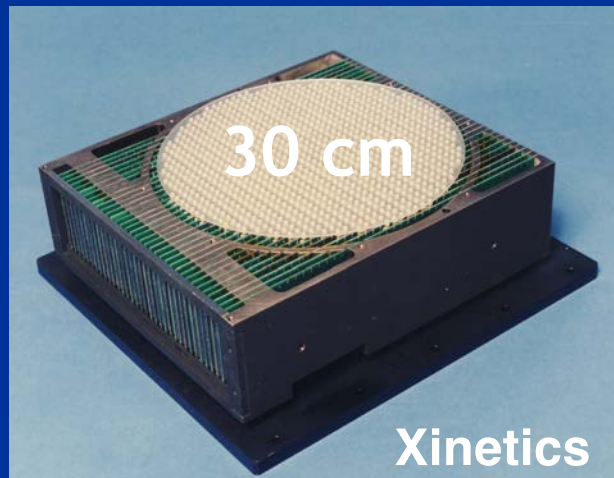


- In practice, a smaller deformable mirror with a thin bendable face sheet is used
- Frequently placed after main telescope mirror



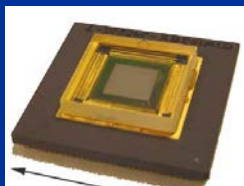
# Deformable mirrors come in many sizes

Glass facesheet  
1000 actuators



Adaptive  
Secondary  
Mirrors

**MEMS**  
1000 actuators

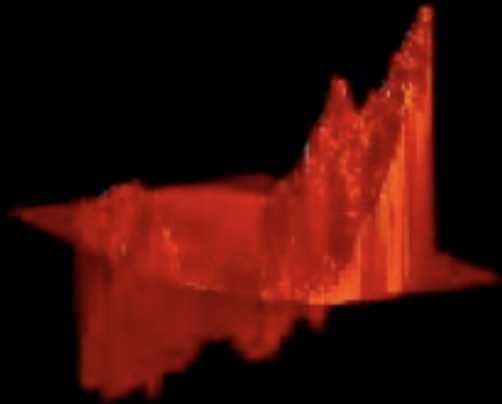


1 cm

Boston  
Micro-  
Machines



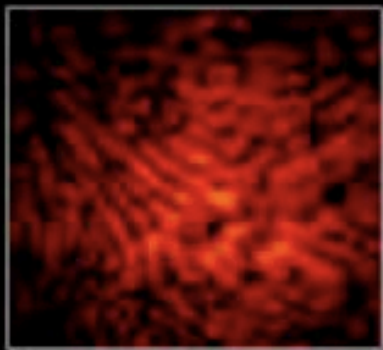
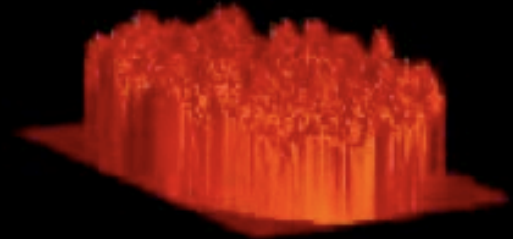
**Incident wavefront**



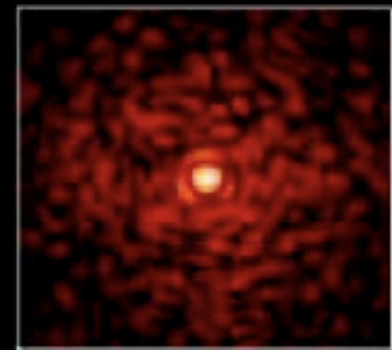
**Shape of  
Deformable Mirror**



**Corrected wavefront**



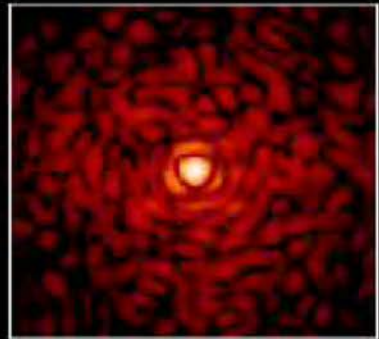
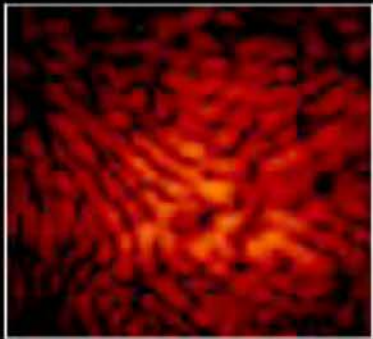
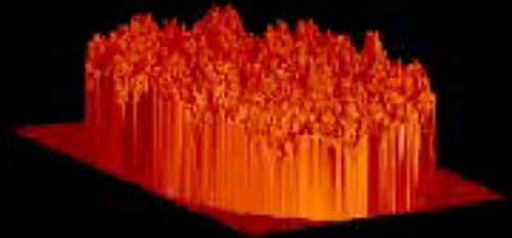
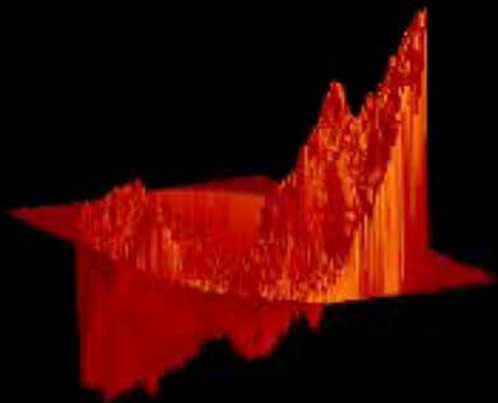
**Log (intensity)**



**Log (intensity)**



**Credit: J. Lloyd**

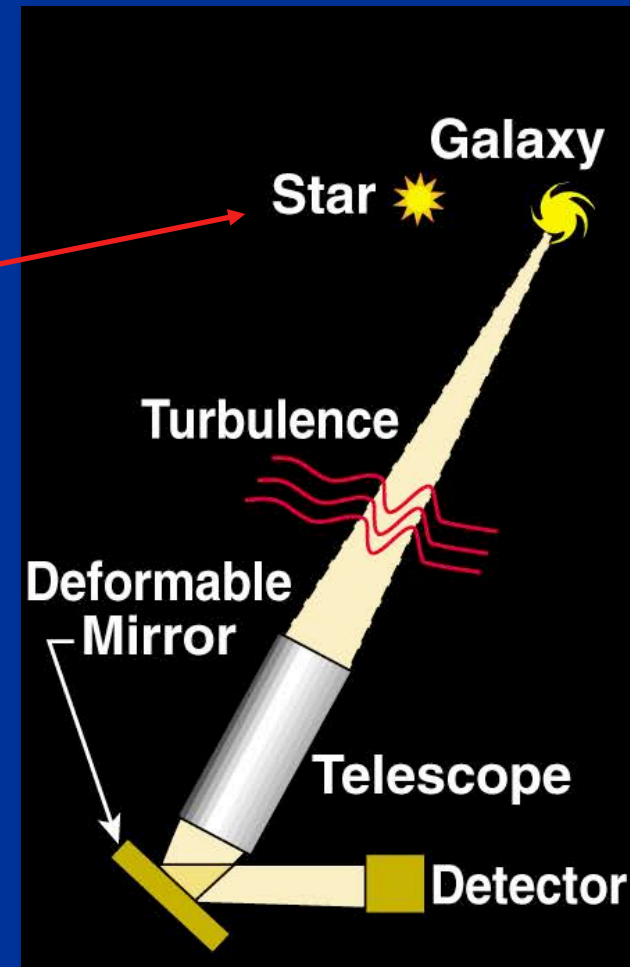




# *If there's no close-by "real" star, create one with a laser*



- Use a laser beam to create artificial "star" at altitude of 100 km in atmosphere



# *Laser guide stars are operating at Lick, Keck, Gemini N & S, VLT, Subaru, ...*



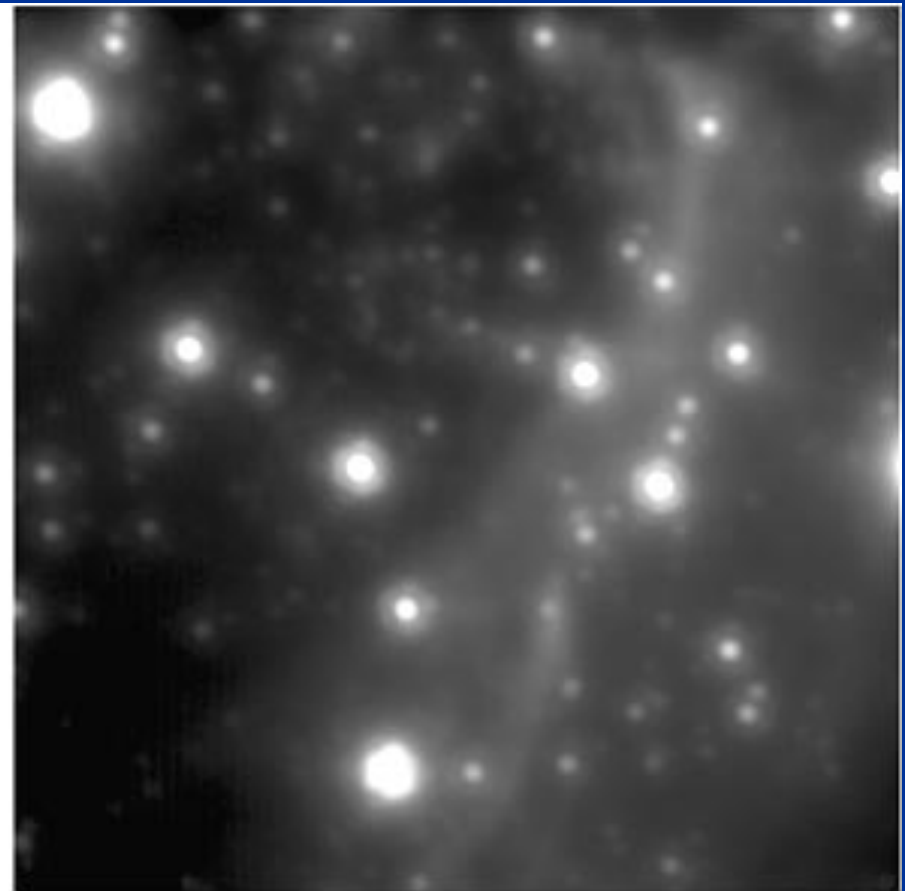
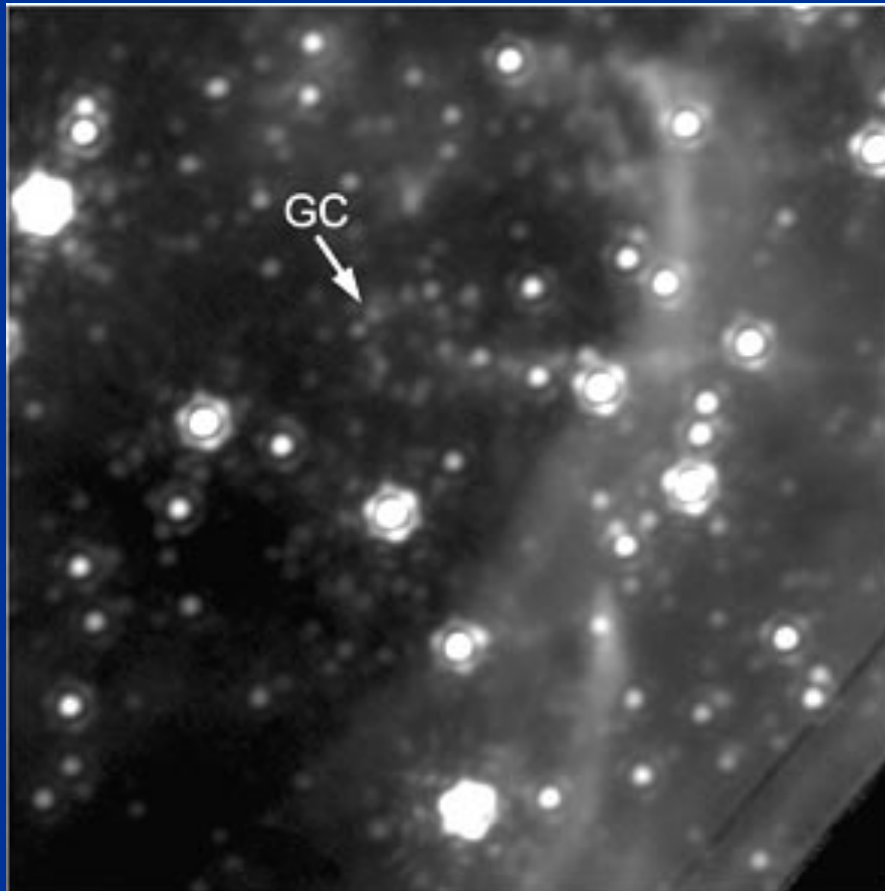
Four lasers on Mauna Kea: Keck 1 and 2, Gemini, Subaru telescopes

# *Galactic Center with Keck laser guide star (GC is location of supermassive black hole)*



Keck laser guide star AO

Best natural guide star AO



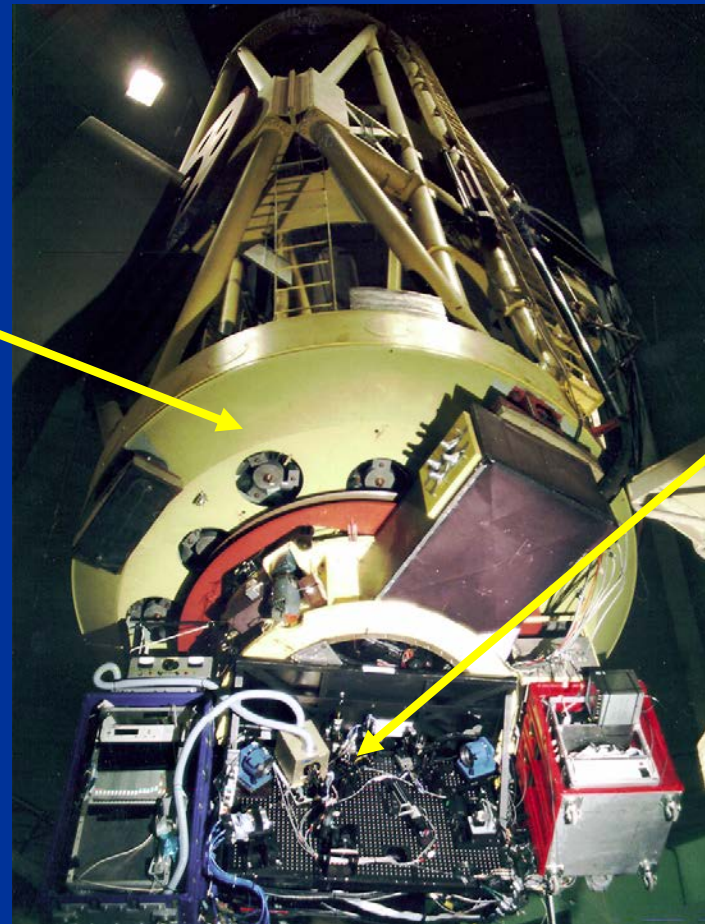
Source: UCLA Galactic Center group

# *Adaptive optics system is frequently behind the main telescope mirror*



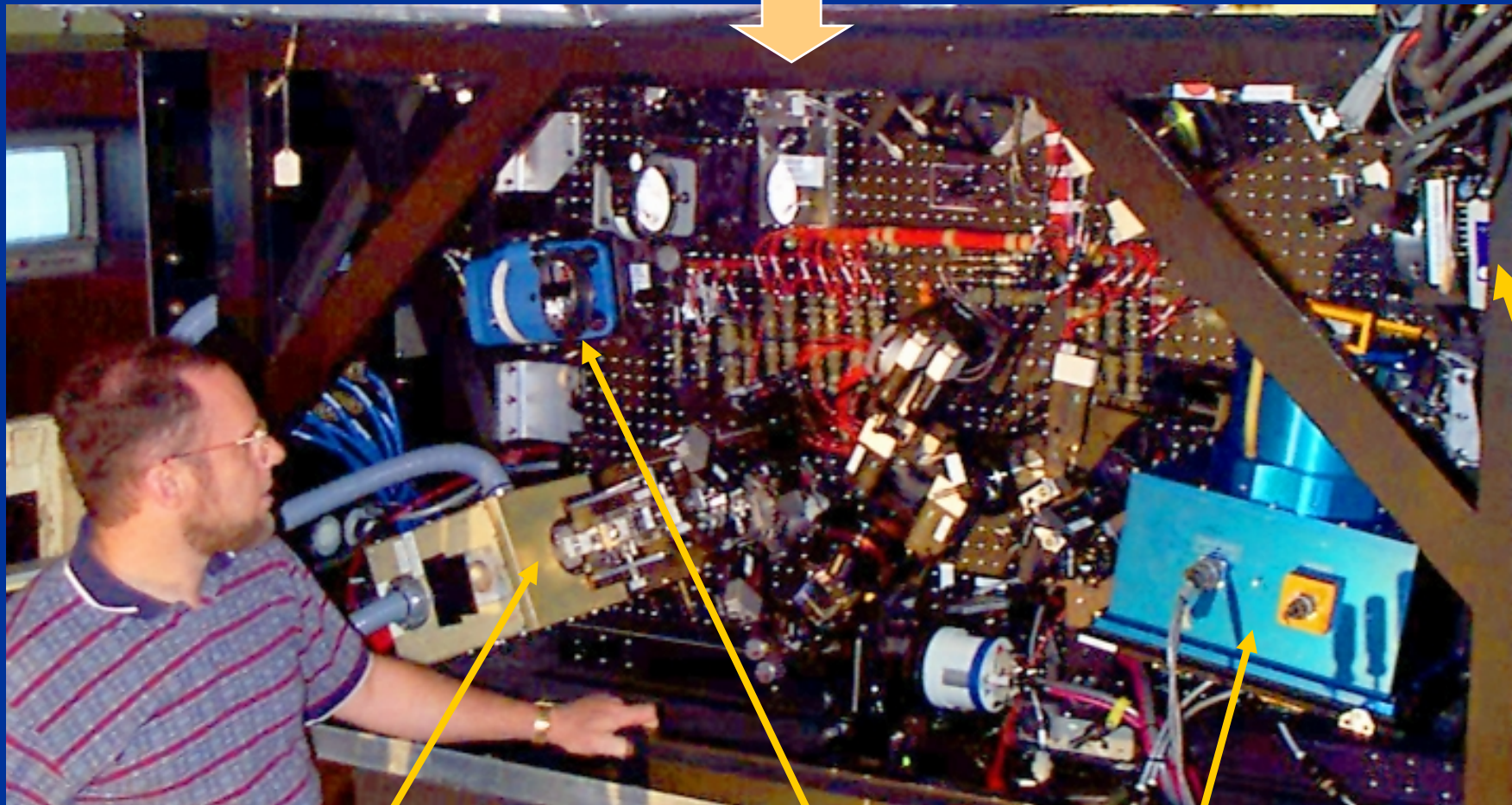
- Example: AO system at Lick Observatory's 3 m telescope

Support for  
main  
telescope  
mirror



Adaptive optics  
package below  
main mirror

# Original Lick adaptive optics system at 3m Shane Telescope



Wavefront sensor

Off-axis parabola mirror

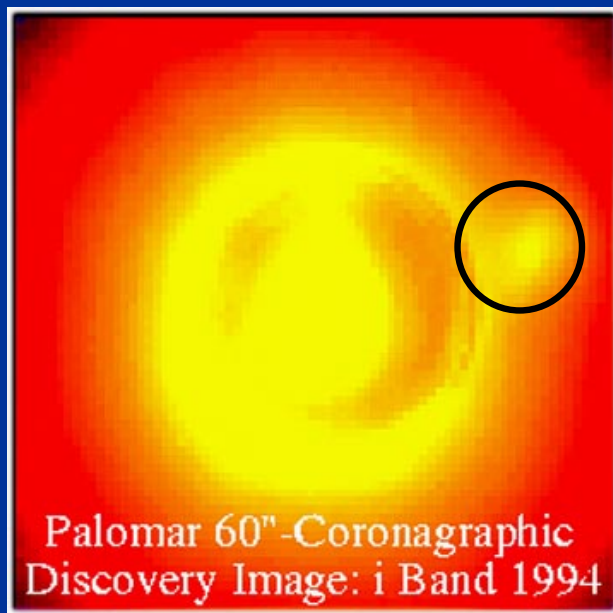
IRCAL infrared camera

DM

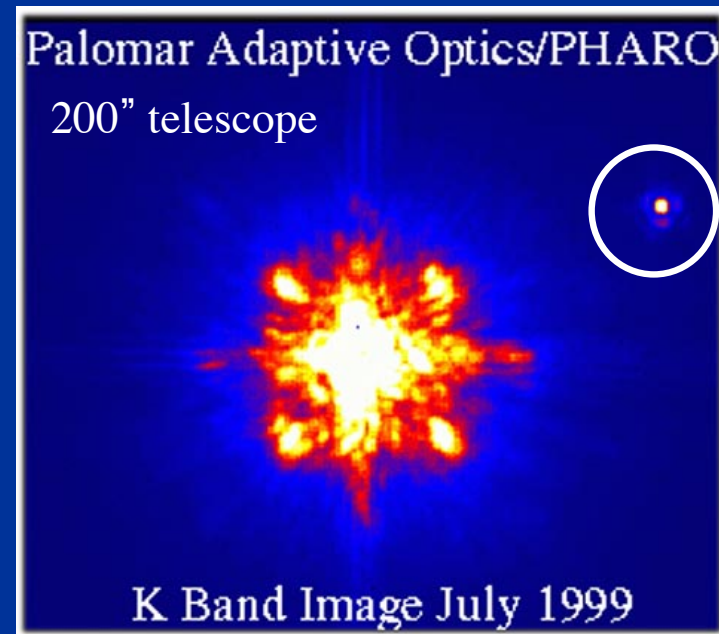
# *Adaptive optics makes it possible to find faint companions around bright stars*



Two images from Palomar of a brown dwarf companion to GL 105



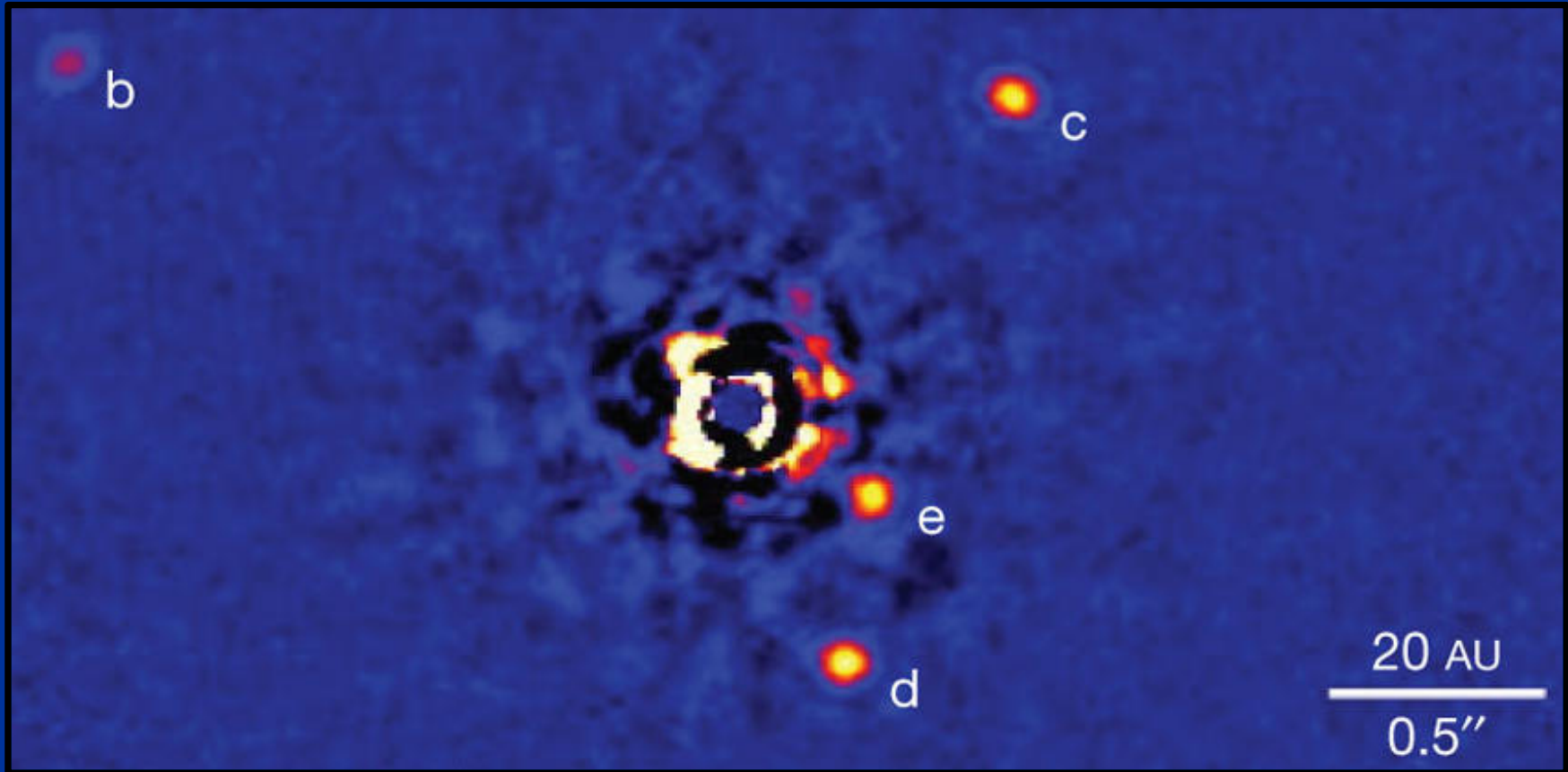
No AO



With AO

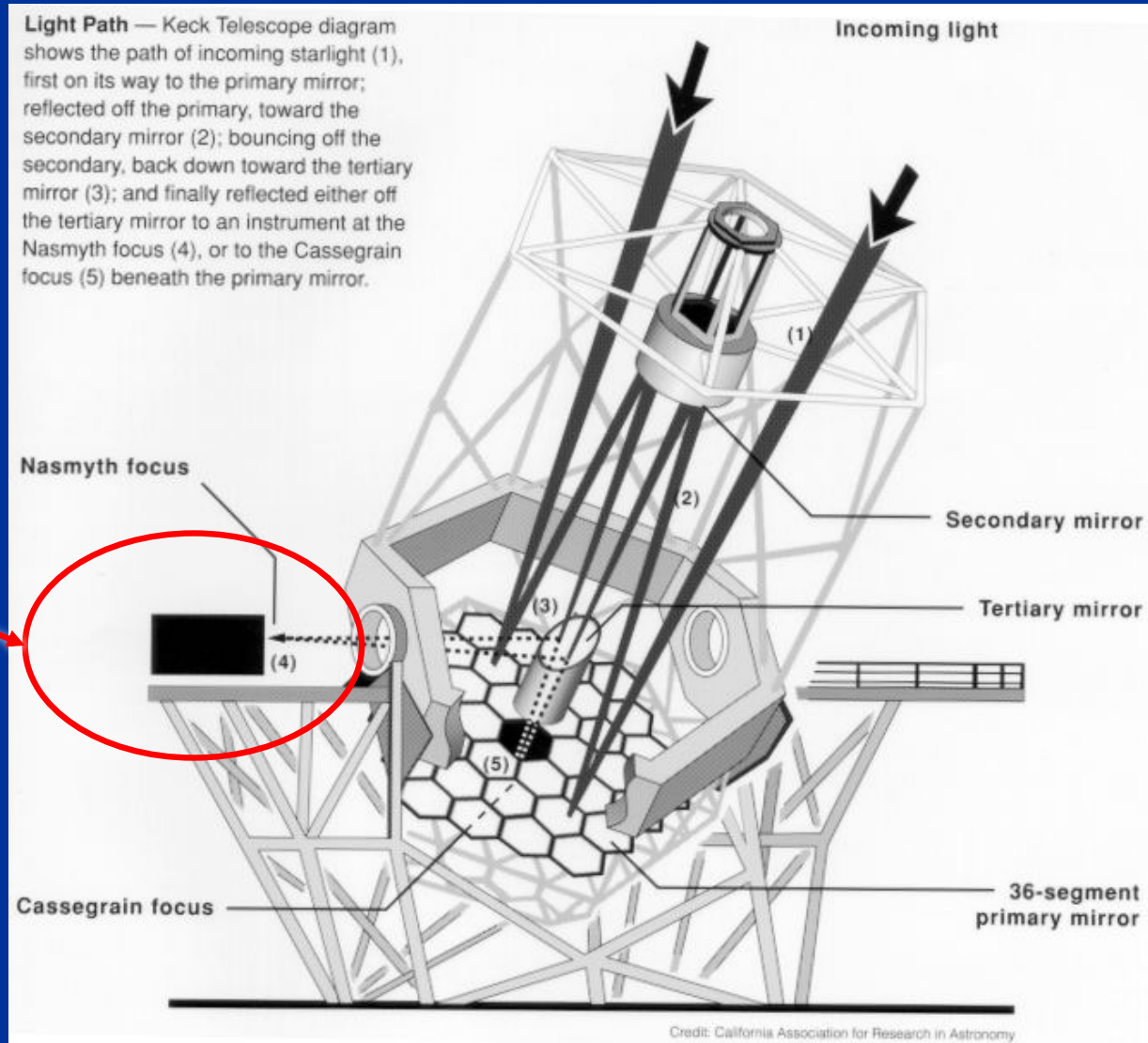
Credit: David Golimowski

# Four-planet system HR 8799



Marois et al. 2007

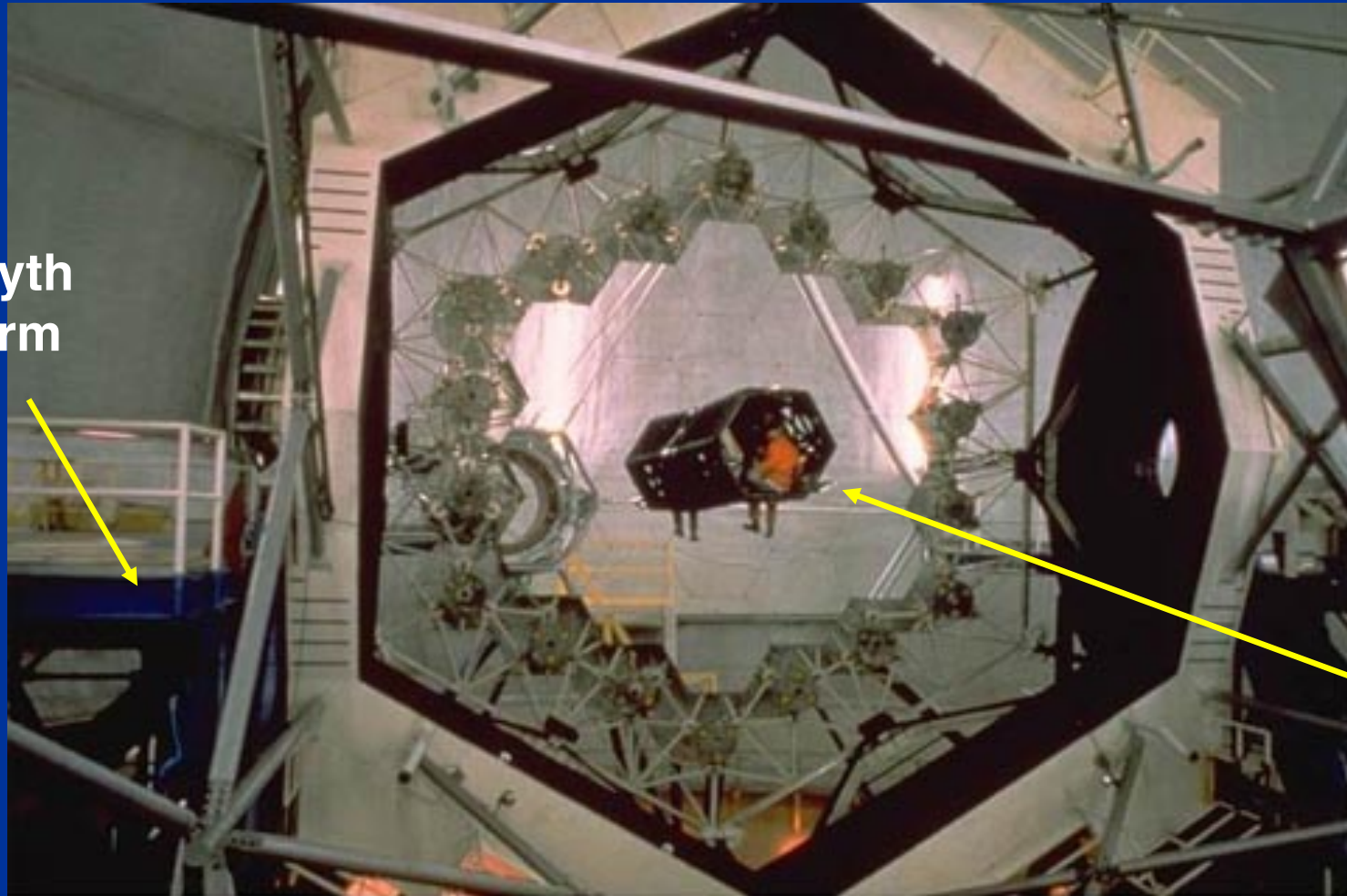
# The Keck Telescopes



**Adaptive  
optics  
lives here**



# *Keck Telescope's primary mirror consists of 36 hexagonal segments*



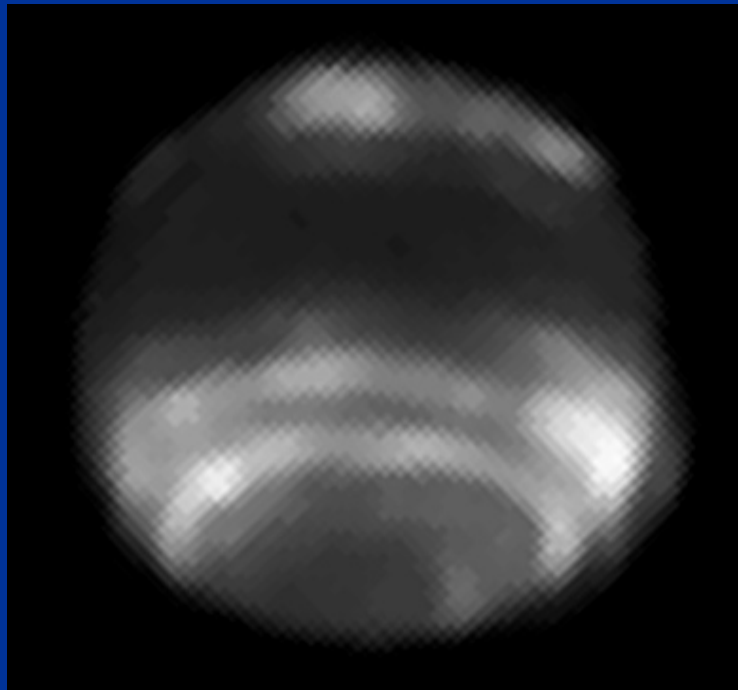
Nasmyth platform

Person!

# Neptune at 1.6 $\mu\text{m}$ : Keck AO exceeds resolution of Hubble Space Telescope

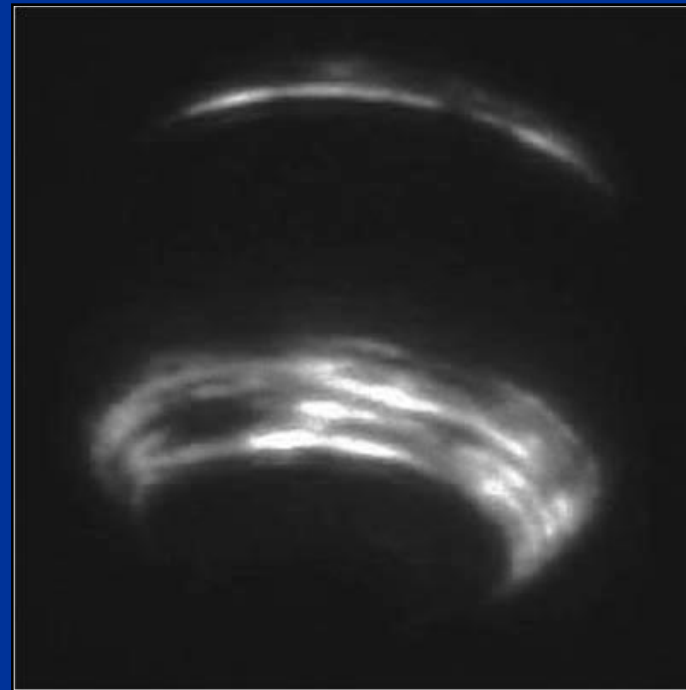


HST - NICMOS



2.4 meter telescope

Keck AO

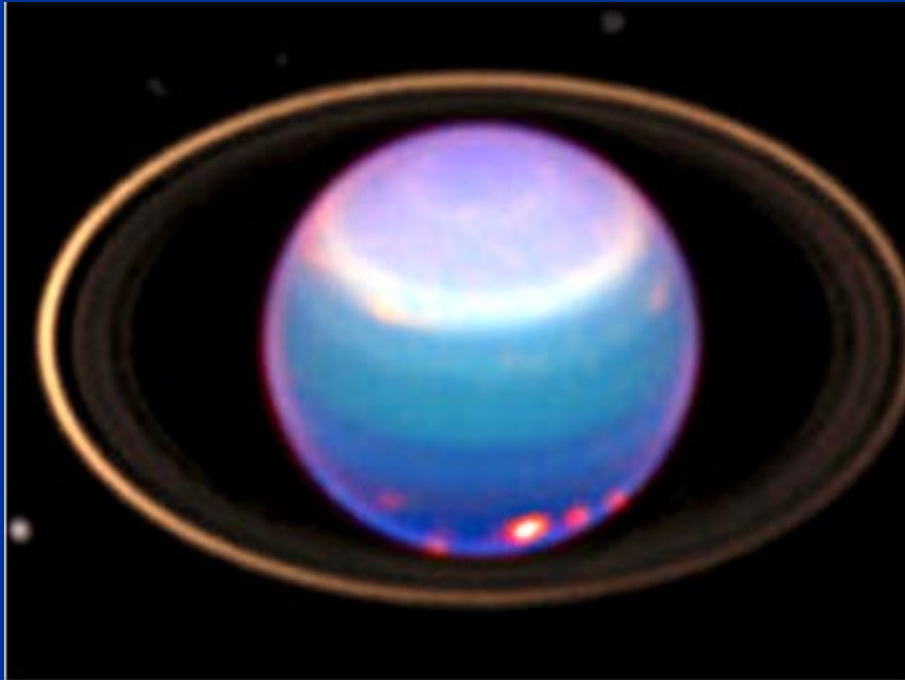


10 meter telescope

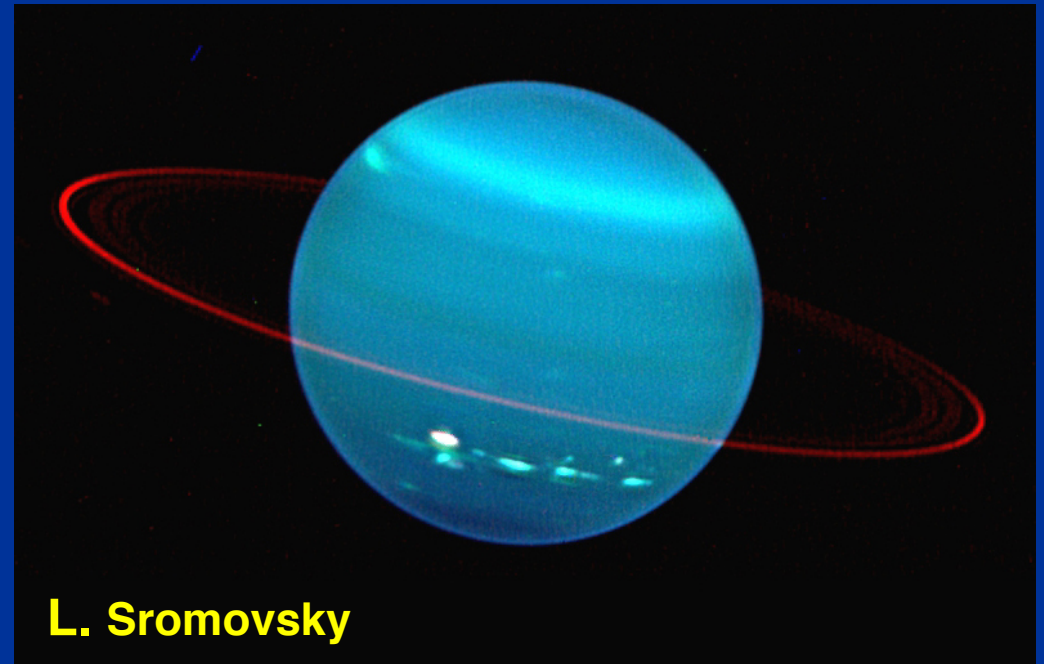
~ 2 arc sec

(Two different dates and times)

# Uranus with Hubble Space Telescope and Keck AO



**HST, Visible**



**Keck AO, IR**

**Lesson: Keck in near IR has ~ same resolution as Hubble in visible**

# *Some frontiers of astronomical adaptive optics*

---



- **Current systems (natural and laser guide stars):**
  - How can we measure the Point Spread Function while we observe?
  - How accurate can we make our photometry? astrometry?
- **Future systems:**
  - How far can we push new AO systems to achieve very high contrast ratios, to detect planets around nearby stars?
  - How can we best achieve a wider AO field of view?
  - How can we do AO for visible light (replace Hubble on the ground)?
  - How can we do laser guide star AO on future 30-m telescopes?

# Frontiers in AO technology

---



- New kinds of deformable mirrors with  $> 5000$  degrees of freedom
- Wavefront sensors that can deal with this many degrees of freedom
- Innovative control algorithms
- “Tomographic wavefront reconstruction” using multiple laser guide stars
- New approaches to doing visible-light AO

# Other AO applications

---



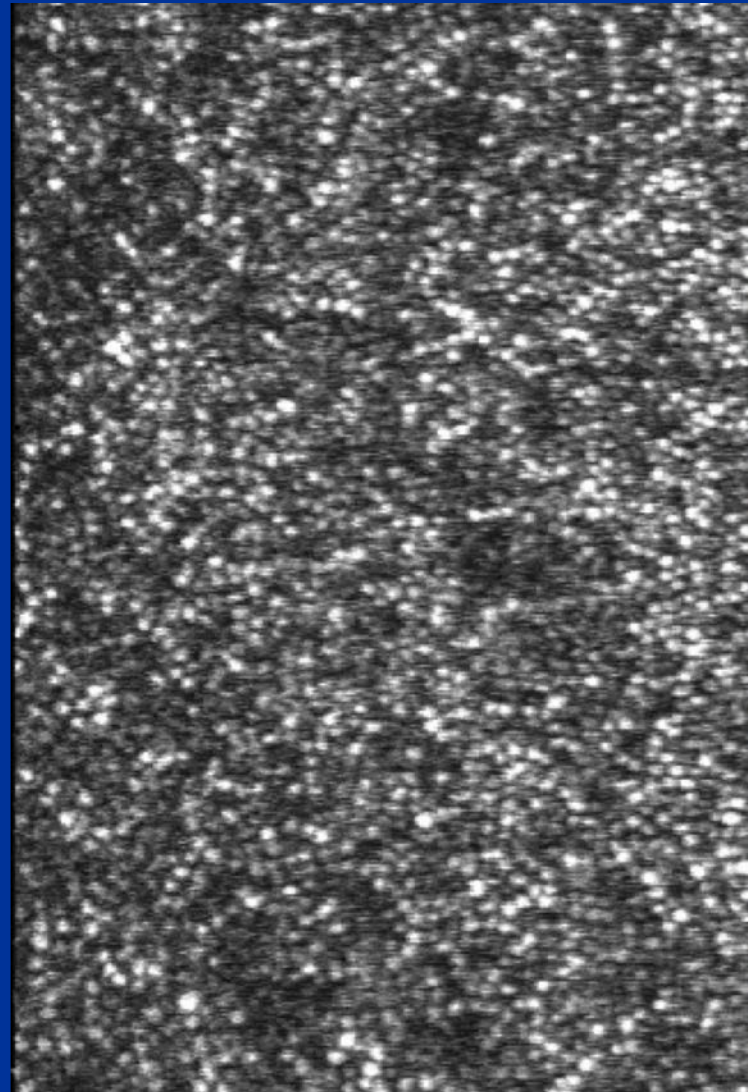
- **Biology**
  - Imaging the living human retina
  - Improving performance of microscopy (e.g. of cells)
- **Free-space laser communications (thru air)**
- **Imaging and remote sensing (thru air)**
- **Correcting beam quality of high power lasers**

# *Sneak preview of AO retinal imaging*

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Individual cones  
- color receptors



Watch white  
blood cells flow  
through  
capillaries (!)



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- Enjoy!